C. Yoen

SEP 2 3 2003

RAW SEQUENCE LISTING

DATE: 09/15/2003 TIME: 15:42:28

PATENT APPLICATION: US/09/194,164

Input Set: N:\Crf3\RULE60\09194164.RAW.txt Output Set: N:\CRF4\09152003\I194164.raw

SEOUENCE LISTING

```
3 (1) GENERAL INFORMATION:
             (i) APPLICANT: Dan, Michael D.
                            Maiti, Pradip K.
                            Kaplan, Howard A.
            (ii) TITLE OF INVENTION: ANTIGEN BINDING FRAGMENTS THAT
     10
                                      SPECIFICALLY DETECT CANCER CELLS, NUCLEOTIDES ENCODING
THE
     11
                                      FRAGMENTS, AND USE THEREOF FOR THE PROPHYLAXIS AND
                                      DETECTION OF CANCERS
     12
           (iii) NUMBER OF SEQUENCES: 18
     14
            (iv) CORRESPONDENCE ADDRESS:
     16
     17
                  (A) ADDRESSEE: Morrison & Foerster
                  (B) STREET: 755 Page Mill Road
     18
     1.9
                  (C) CITY: Palo Alto
                  (D) STATE: CA
     20
                                                                  ENTERED
     21
                  (E) COUNTRY: USA
     22
                  (F) ZIP: 94304-1018
     24
             (v) COMPUTER READABLE FORM:
                  (A) MEDIUM TYPE: Floppy disk
     25
                  (B) COMPUTER: IBM PC compatible
     26
                  (C) OPERATING SYSTEM: PC-DOS/MS-DOS
     27
                  (D) SOFTWARE: PatentIn Release #1.0, Version #1.30
     28
     30
            (vi) CURRENT APPLICATION DATA:
C--> 31
                  (A) APPLICATION NUMBER: US/09/194,164
C--> 32
                  (B) FILING DATE: 09-Apr-1999
     33
                  (C) CLASSIFICATION:
           (vii) PRIOR APPLICATION DATA:
     36
     37
                  (A) APPLICATION NUMBER: US/08/657,449
     38
                  (B) FILING DATE:
     41
          (viii) ATTORNEY/AGENT INFORMATION:
     42
                  (A) NAME: Lehnhardt, Susan K.
     43
                  (B) REGISTRATION NUMBER: 33,943
                  (C) REFERENCE/DOCKET NUMBER: 31608-20001.00
     44
            (ix) TELECOMMUNICATION INFORMATION:
     46
     47
                  (A) TELEPHONE: (415) 813-5600
                  (B) TELEFAX: (415) 494-0792
     48
     49
                  (C) TELEX: 706141
     52 (2) INFORMATION FOR SEQ ID NO: 1:
     54
             (i) SEQUENCE CHARACTERISTICS:
     55
                  (A) LENGTH: 543 base pairs
     56
                  (B) TYPE: nucleic acid
     57
                  (C) STRANDEDNESS: double
```

(D) TOPOLOGY: linear

58

60

DATE: 09/15/2003 RAW SEQUENCE LISTING PATENT APPLICATION: US/09/194,164 TIME: 15:42:28

Input Set : N:\Crf3\RULE60\09194164.RAW.txt Output Set: N:\CRF4\09152003\I194164.raw

```
62
       (ix) FEATURE:
63
             (A) NAME/KEY: CDS
64
             (B) LOCATION: 1..543
       (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 1:
69 CAAGCTATTT AGGTGACACT ATAGAATACT CAAGCTATGC ATCCAACGCG TTGGGAGCTC
71 TCCCATATGG TCGACCTGCA GGCGGCCGCA CTAGTGATTT CAAGCTTCAT CACTGAACAC
                                                                          120
73 AGAGGACTCA CCATGGAGTT TGGGCTGAGC TGGGTTTTCC TCGTTGCTCT TTTAAGAGGT
                                                                          180
75 ATCCAGTGTC AGGTGCAGCT GGTGGAGTCT GGGGGAGGCG TGGTCCAGCC TGGGAGGTCC
                                                                          240
77 CTGAGACTCT CCTGTGCAGC CTCTGGATTC CCCTTCAGAA GCTTTGCTAT GCACTGGGTC
                                                                          300
79 CGCCAGGCTC TAGGCAAGGG GCTGGAGTGG GTGGCAGTTA TATCATATGA TGGAAGCACT
                                                                          360
81 AAATACTACG CAGACTCCGT GAAGGGGCGA TTCACCATCT CCAGAGACAC TTCCAAGAAC
                                                                          420
83 ACGGTGTATC TAAAAATGAA CAGGCTGAGA ACTGAGGACA CGGCTGTCTT TTACTTGTGC
                                                                          480
85 GAAAGACAGA GCCTGCTGGG TGACTATGAC CACTACTACG GNTTGGACGC TTGGGGAAAG
                                                                          540
87 GGA
                                                                          543
89 (2) INFORMATION FOR SEQ ID NO: 2:
        (i) SEQUENCE CHARACTERISTICS:
91
92
             (A) LENGTH: 179 amino acids
93
             (B) TYPE: amino acid
             (C) STRANDEDNESS: single
94
95
             (D) TOPOLOGY: linear
        (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 2:
103
         Gln Ala Ile Val Thr Leu Asn Thr Gln Ala Met His Pro Thr Arg Trp
104
                         5
                                              10
106
         Glu Leu Ser His Met Val Asp Leu Gln Ala Ala Ala Leu Val Ile Ser
107
109
         Ser Phe Ile Thr Glu His Arg Gly Leu Thr Met Glu Phe Gly Leu Ser
110
                                      40
112
         Trp Val Phe Leu Val Ala Leu Leu Arg Gly Ile Gln Cys Gln Val Gln
113
         Leu Val Glu Ser Gly Gly Gly Val Val Gln Pro Gly Arg Ser Leu Arg
115
116
                             70
                                                  75
118
         Leu Ser Cys Ala Ala Ser Gly Phe Pro Phe Arg Ser Phe Ala Met His
119
                                              90
121
         Trp Val Arg Gln Ala Leu Gly Lys Gly Leu Glu Trp Val Ala Val Ile
122
                                         105
                     100
                                                              110
124
         Ser Tyr Asp Gly Ser Thr Lys Tyr Tyr Ala Asp Ser Val Lys Gly Arg
                                    120
127
         Phe Thr Ile Ser Arg Asp Thr Ser Lys Asn Thr Val Tyr Leu Lys Met
128
                                 135
                                                      140
130
         Asn Arg Leu Arg Thr Glu Asp Thr Ala Val Phe Tyr Leu Cys Glu Arg
131
                             150
                                                  155
133
         Gln Ser Leu Leu Gly Asp Tyr Asp His Tyr Tyr Gly Leu Asp Ala Trp
134
                         165
                                              170
136
         Gly Lys Gly
139 (2) INFORMATION FOR SEQ ID NO: 3:
         (i) SEQUENCE CHARACTERISTICS:
141
142
              (A) LENGTH: 543 base pairs
143
              (B) TYPE: nucleic acid
144
              (C) STRANDEDNESS: double
```

RAW SEQUENCE LISTINGPATENT APPLICATION: **US/09/194,164**DATE: 09/15/2003
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Input Set : N:\Crf3\RULE60\09194164.RAW.txt
Output Set: N:\CRF4\09152003\I194164.raw

```
(D) TOPOLOGY: linear
        (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 3:
153 TCCCTTTCCC CAAGCGTCCA ANCCGTAGTA GTGGTCATAG TCACCCAGCA GGCTCTGTCT
                                                                             60
155 TTCGCACAAG TAAAAGACAG CCGTGTCCTC AGTTCTCAGC CTGTTCATTT TTAGATACAC
                                                                            120
157 CGTGTTCTTG GAAGTGTCTC TGGAGATGGT GAATCGCCCC TTCACGGAGT CTGCGTAGTA
                                                                            180
159 TTTAGTGCTT CCATCATATG ATATAACTGC CACCCACTCC AGCCCCTTGC CTAGAGCCTG
                                                                            240
161 GCGGACCCAG TGCATAGCAA AGCTTCTGAA GGGGAATCCA GAGGCTGCAC AGGAGAGTCT
                                                                            300
163 CAGGGACCTC CCAGGCTGGA CCACGCCTCC CCCAGACTCC ACCAGCTGCA CCTGACACTG
165 GATACCTCTT AAAAGAGCAA CGAGGAAAAC CCAGCTCAGC CCAAACTCCA TGGTGAGTCC
                                                                            420
167 TCTGTGTTCA GTGATGAAGC TTGAAATCAC TAGTGCGGCC GCCTGCAGGT CGACCATATG
                                                                            480
169 GGAGAGCTCC CAACGCGTTG GATGCATAGC TTGAGTATTC TATAGTGTCA CCTAAATAGC
                                                                            540
171 TTG
                                                                            543
173 (2) INFORMATION FOR SEQ ID NO: 4:
         (i) SEQUENCE CHARACTERISTICS:
176
              (A) LENGTH: 450 base pairs
177
              (B) TYPE: nucleic acid
178
              (C) STRANDEDNESS: double
179
              (D) TOPOLOGY: linear
183
        (ix) FEATURE:
184
              (A) NAME/KEY: CDS
185
              (B) LOCATION: 1..450
        (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 4:
190 CTCGAGATGG ACATGGAGTT CCAGGCGCAG CTTCTCTTCC TCCTGCTACT CTGGCTCCCA
                                                                             60
192 GATATCACCG GAGATATTGT GTTGACGCAG TCTCCAGGCA CCCTGTCTTT GTCTCCAGGG
                                                                            120
194 GAAAGAGCCA CCCTCTCCTG CAGGGCCAGT CAGAGTGTTA GTAGCAGCTA CTTAGCCTGG
                                                                            180
196 TACCAGCAGA AACCTGGCCA GGCTCCCAGG CTCCTCATCT ATGGTGCATC CACCAGGGCC
                                                                            240
198 ACTGGCATGC CAGACAGGTC CAGTGGCAGT GGGTCCGGGA CAGACTTCAC TCTCACCATC
                                                                           300
200 AGTAGACTGG AGCCTGAAGA TTTTGCAGTG TATTACTGTC AGCAGTATGG TAGCTCACCT
                                                                            360
202 CAGACACCTC AGATCACTTT CGGCGGAGGG ACCAAGGTGG AGATCAAACG AACTGTGGCT
                                                                            420
204 GCACCATCTG TCTTCATCTT CCCGCCATCT
                                                                            450
206 (2) INFORMATION FOR SEQ ID NO: 5:
208
         (i) SEQUENCE CHARACTERISTICS:
209
              (A) LENGTH: 150 amino acids
210
              (B) TYPE: amino acid
211 .
              (C) STRANDEDNESS: single
212
              (D) TOPOLOGY: linear
218 .
        (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 5:
        Leu Glu Met Asp Met Glu Phe Gln Ala Gln Leu Leu Phe Leu Leu
221
                                              10
223
        Leu Trp Leu Pro Asp Ile Thr Gly Asp Ile Val Leu Thr Gln Ser Pro
224
                                          25
226
         Gly Thr Leu Ser Leu Ser Pro Gly Glu Arg Ala Thr Leu Ser Cys Arg
227
                                      40
229
         Ala Ser Gln Ser Val Ser Ser Ser Tyr Leu Ala Trp Tyr Gln Gln Lys
230
                                 55
232
         Pro Gly Gln Ala Pro Arg Leu Leu Ile Tyr Gly Ala Ser Thr Arg Ala
233
                             70
                                                  75
235
       . Thr Gly Met Pro Asp Arg Phe Ser Gly Ser Gly Ser Gly Thr Asp Phe
236
                         85
                                              90
```

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Input Set: N:\Crf3\RULE60\09194164.RAW.txt
Output Set: N:\CRF4\09152003\I194164.raw

```
Thr Leu Thr Ile Ser Arg Leu Glu Pro Glu Asp Phe Ala Val Tyr Tyr
238
239
                     100
                                         105
        Cys Gln Gln Tyr Gly Ser Ser Pro Gln Thr Pro Gln Ile Thr Phe Gly
241
242
                 115
                                     120
                                                          125
        Gly Gly Thr Lys Val Glu Ile Lys Arg Thr Val Ala Ala Pro Ser Val
244
                                 135
                                                     140
245
247
         Phe Ile Phe Pro Pro Ser
        145
248
                             150
250 (2) INFORMATION FOR SEQ ID NO: 6:
252
         (i) SEQUENCE CHARACTERISTICS:
              (A) LENGTH: 450 base pairs
253
254
              (B) TYPE: nucleic acid
255
              (C) STRANDEDNESS: double
256
              (D) TOPOLOGY: linear
        (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 6:
262
264 AGATGGCGGG AAGATGAAGA CAGATGGTGC AGCCACAGTT CGTTTGATCT CCACCTTGGT
                                                                            60
266 CCCTCCGCCG AAAGTGATCT GAGGTGTCTG AGGTGAGCTA CCATACTGCT GACAGTAATA
                                                                           120
268 CACTGCAAAA TCTTCAGGCT CCAGTCTACT GATGGTGAGA GTGAAGTCTG TCCCGGACCC
                                                                           180
270 ACTGCCACTG AACCTGTCTG GCATGCCAGT GGCCCTGGTG GATGCACCAT AGATGAGGAG
                                                                           240
272 CCTGGGAGCC TGGCCAGGTT TCTGCTGGTA CCAGGCTAAG TAGCTGCTAC TAACACTCTG
                                                                           300
274 ACTGGCCCTG CAGGAGAGGG TGGCTCTTTC CCCTGGAGAC AAAGACAGGG TGCCTGGAGA
                                                                           360
276 CTGCGTCAAC ACAATATCTC CGGTGATATC TGGGAGCCAG AGTAGCAGGA GGAAGAGAAG
                                                                           420
278 CTGCGCCTGG AACTCCATGT CCATCTCGAG
                                                                           450
280 (2) INFORMATION FOR SEQ ID NO: 7:
         (i) SEQUENCE CHARACTERISTICS:
              (A) LENGTH: 34 base pairs
283
              (B) TYPE: nucleic acid
284
285
              (C) STRANDEDNESS: single
286
              (D) TOPOLOGY: linear
       (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 7:
294 TATGAAGACA CCAGGCCGAT ATTGTGTTGA CGCA
                                                                            34
296 (2) INFORMATION FOR SEQ ID NO: 8:
298
     (i) SEQUENCE CHARACTERISTICS:
299
            (A) LENGTH: 26 base pairs
300
              (B) TYPE: nucleic acid
301
              (C) STRANDEDNESS: single
              (D) TOPOLOGY: linear
       (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 8:
310 TATCCGGATG CAGCCACAGT TCGTTT
                                                                            26
312 (2) INFORMATION FOR SEQ ID NO: 9:
         (i) SEQUENCE CHARACTERISTICS:
314
315
             (A) LENGTH: 26 base pairs
316
             (B) TYPE: nucleic acid
317
              (C) STRANDEDNESS: single
318
              (D) TOPOLOGY: linear
       (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 9:
324
                                                                            26
326 TATTCGGACA GGTGCAGCTG GTGGAG
328 (2) INFORMATION FOR SEQ ID NO: 10:
330
        (i) SEQUENCE CHARACTERISTICS:
```

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Input Set: N:\Crf3\RULE60\09194164.RAW.txt
Output Set: N:\CRF4\09152003\I194164.raw

```
(A) LENGTH: 27 base pairs
331
332
              (B) TYPE: nucleic acid
333
              (C) STRANDEDNESS: single
334
              (D) TOPOLOGY: linear
        (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 10:
340
                                                                             27
342 TATGGATCCT GAGGAGACGG TGACCGT
344 (2) INFORMATION FOR SEQ ID NO: 11:
         (i) SEQUENCE CHARACTERISTICS:
347
              (A) LENGTH: 60 base pairs
              (B) TYPE: nucleic acid
348
349
              (C) STRANDEDNESS: single
350
              (D) TOPOLOGY: linear
        (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 11:
356
358 TATATATCCG GAGGTGGTGG ATCAGGTGGA GGTGGCTCCC AGGTGCAGCT GGTGGAGTCT
                                                                             60
361 (2) INFORMATION FOR SEQ ID NO: 12:
        (i) SEQUENCE CHARACTERISTICS:
363
              (A) LENGTH: 46 base pairs
364
              (B) TYPE: nucleic acid
365
366
              (C) STRANDEDNESS: single
367
              (D) TOPOLOGY: linear
        (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 12:
375 ACCTCCGGAA CCGCCACCGC CAGAGACAGA TGGTGCAGCC ACATTC
                                                                             46
377 (2) INFORMATION FOR SEQ ID NO: 13:
379
        (i) SEQUENCE CHARACTERISTICS:
380
              (A) LENGTH: 918 base pairs
              (B) TYPE: nucleic acid
381
382
              (C) STRANDEDNESS: single
383
              (D) TOPOLOGY: linear
387
        (ix) FEATURE:
388
              (A) NAME/KEY: CDS
389
              (B) LOCATION: join(1..906, 913..918)
        (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 13:
392
394 GAA TTC ATG AAA AAA ACC GCT ATC GCG ATC GCA GTT GCA CTG GCT GGT
                                                                             48
395 Glu Phe Met Lys Lys Thr Ala Ile Ala Ile Ala Val Ala Leu Ala Gly
                      5
                                          10
398 TTC GCT ACC GTT GCG CAG GCC GAT ATT GTG TTG ACG CAG TCT CCA GGC
                                                                             96
399 Phe Ala Thr Val Ala Gln Ala Asp Ile Val Leu Thr Gln Ser Pro Gly
                 20
                                      25
402 ACC CTG TCT TTG TCT CCA GGG GAA AGA GCC ACC CTC TCC TGC AGG GCC
                                                                            144
403 Thr Leu Ser Leu Ser Pro Gly Glu Arg Ala Thr Leu Ser Cys Arg Ala
                                 40
406 AGT CAG AGT GTT AGT AGC AGC TAC TTA GCC TGG TAC CAG CAG AAA CCT
                                                                           192
407 Ser Gln Ser Val Ser Ser Ser Tyr Leu Ala Trp Tyr Gln Gln Lys Pro
                             55
410 GGC CAG GCT CCC AGG CTC CTC ATC TAT GGT GCA TCC ACC AGG GCC ACT
                                                                            240
411 Gly Gln Ala Pro Arg Leu Leu Ile Tyr Gly Ala Ser Thr Arg Ala Thr
412 65
                         70
414 GGC ATG CCA GAC AGG TTC AGT GGC AGT GGG TCC GGG ACA GAC TTC ACT
                                                                            288
415 Gly Met Pro Asp Arg Phe Ser Gly Ser Gly Ser Gly Thr Asp Phe Thr
```

VERIFICATION SUMMARY

DATE: 09/15/2003

PATENT APPLICATION: US/09/194,164

TIME: 15:42:29

Input Set :: N:\Crf3\RULE60\09194164.RAW.txt Output Set: N:\CRF4\09152003\I194164.raw

L:31 M:220 C: Keyword misspelled or invalid format, [(A) APPLICATION NUMBER:] L:32 M:220 C: Keyword misspelled or invalid format, [(B) FILING DATE:]